



Escalation Plan

Registrant will be placed into one of the following categories at the discretion of the CS Monitor:

Green Tier

Action to be taken: A formal notice (letter) is sent from the CSRRC Chair, plus cc to Department Chair, congratulating the registrant on fully meeting the state and DEA regulations.

Yellow Tier

Actions to be taken:

1. Registrant *may* receive more guidance and MV's, at the discretion of the CS Monitors.
2. A formal notice (letter) is sent from the CSRRC Chair stating expectations and timeframe for corrections. If corrections are not made as expected, registrant may be placed in the orange tier.

Orange Tier

Actions to be taken:

1. Registrant will be placed on a one-year probationary period, to include additional guidance and MV's.
 - A. The CSRRC *may* request unannounced MV's during the probationary period.
2. Registrant will receive a formal notice (letter) from the CSRRC Chair stating expectations and timeframe for correction, plus cc of formal notice to Department Chair and Associate Dean. CSRRC to review at meeting.
3. Associated staff and the registrant must complete additional training.

Red Tier

Actions to be taken:

1. All of the above from orange tier, plus
2. The CSRRC may recommend any of the following to the U-M Medical School (UMMS) Dean and/or the U-M Vice President for Research:
 - A. A staff members' authorization to use controlled substances is revoked (e.g., no longer listed on the authorized personnel log) either for a limited duration or indefinitely.
 - B. The registrant is prohibited from using controlled substances for research at U-M, either for a limited duration or indefinitely. If the registrant is conducting animal research, the IACUC will be notified due to veterinary considerations.
 - C. The registrant and any associated staff must come before the CSRRC to explain their continued controlled substance non-compliance.



OFFICE OF RESEARCH

CONTROLLED SUBSTANCES MONITORING PROGRAM

UNIVERSITY OF MICHIGAN

If controlled substance use is allowed to continue, the registrant is placed on a detailed probationary period, to be communicated by the U-M Assistant Vice President for Research. The UMMS Dean and/or U-M Vice President for Research, CSRRC Chair, and registrant Department Chair are included in the notification.